



Management Liability (D&O) Proposal Form

**GB UNDERWRITING PROPOSAL FORM:
MANAGEMENT LIABILITY (D&O) AND COMPANY REIMBURSEMENT**

This proposal form must be completed by a director or company secretary, and answered fully for a quotation to be given. The completion and signature of this proposal form does not bind the Company or Insurer to complete a contract of insurance.

1. Name of Company:

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2. Registered address:

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..... Postcode:

3. Year of establishment:

4. Legal status of Company: Privately Owned Publicly Owned
 Limited by Guarantee Charity or Not-for-Profit

5. Nature of business:

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6. Number of shareholders of the Company:

7. Percentage of shares held by directors of the Company: %

8. Full details of shareholders who own 10% or more of issued shares:

Shareholder	Percentage Owned
..... %
..... %
..... %
..... %
..... %



9. Is the Company listed on any Stock Exchange? Yes No

If so, please provide full details:

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10. Is the Company contemplating any offering or share issue in the next 12 months? Yes No

If so, please provide full details:

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11. Does the Company have any acquisition, tender offer or merger pending or under consideration? Yes No

If so, please provide full details:

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12. Is the Company aware of any proposal relating to its acquisition by any another organisation? Yes No

If so, please provide full details:

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13. Have there been any acquisitions, disposals or created subsidiaries in the past 12 months? Yes No

If so, please provide full details:

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14. During the past five years, has either the capital structure or the name of the Company changed? Yes No

If so, please provide full details:

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15. If this proposal is not for a renewal by GB Underwriting Ltd, does the Company currently have Directors & Officers Liability in force? Yes No

If so, please confirm:

Insurer:

Limit of Indemnity: £

Policy Period:

Premium: £

16. Has the Company ever been refused this type of insurance or had a similar insurance cancelled? Yes No

If so, please provide full details:

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17. Have any claims ever been made against any past or present director, officer or employee of the Company or its subsidiaries? Yes No

If so, please provide full details:

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18. Is the Company or any director, officer or employee aware, after enquiry, of any circumstance or incident that may give rise to a claim? Yes No

If so, please provide full details:

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Please complete questions 19-22 if cover is required for activities in North America.

19. What are the total gross assets of the Company and its subsidiaries in North America? £

20. What is the total turnover of the Company and its subsidiaries derived from North America? £

21. Does the Company or any of its subsidiaries have any shares traded in the United States of America? Yes No

If so, please state the percentage of total shares traded, and enclose a copy of the latest 20-F filing or similar filing made to the USA Regulatory Authorities. %

22. Does the Company have any shares traded in the form of ADRs? Yes No

DECLARATION

I/We declare that the contents of this proposal form are true and that I / we have not mis-stated or suppressed any material facts. I/We agree that this proposal form together with any other information supplied by me / us shall form the basis of any contract of insurance effected hereon. I/We undertake to inform Insurers of any material alteration to these facts occurring before completion of the contract of insurance.

Please attach a copy of the most recent audited annual accounts for the Company and the latest interim statement (if applicable).

SIGNATURE:

CAPACITY:

POSITION:

DATE:

PLEASE RETAIN A COPY OF THIS COMPLETED PROPOSAL FORM FOR YOUR RECORDS

GB Underwriting Ltd can also provide Pension Trustee Liability cover.

Please tick here if you require an indication of terms:





www.gbunderwriting.co.uk

GB UNDERWRITING

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GB Underwriting Limited is an independent underwriting agency which is authorised and regulated by the Financial Conduct Authority. FCA No. 304281.
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